

申请/取消互联网执行交易通知及其风险披露声明
Risk Disclosure Statement and Notification of Application for receiving/Opt out from Internet Trade Execution

客户名称 Client Name : _____ 帐户号码 Account No. _____

1. 申请互联网执行交易通知 / Application for receiving Internet Trade Execution Notification
 以电邮通知 / Notify by Email 以短讯通知 / Notify by SMS

2. 取消互联网执行交易通知 / Opt out of receiving Internet Trade Execution Notification
 取消电邮通知 / Opt out notification by Email 取消短讯通知 / Opt out notification by SMS

本人 / 吾等现不收取西证发出“互联网执行交易”的通知。本人 / 吾等承认及确认本人 / 吾等明白下述之风险披露声明:

I/We hereby opt out from “internet trade execution” notification given by Southwest. I/We acknowledge and confirm that I/We understand the risk disclosure statement as below:

“互联网执行交易”的通知是增强客户在互联网执行交易的安全措施。如客户选择不收取“互联网执行交易”的通知, 客户不能及时了解其帐户执行交易情况, 及识别未经客户授权交易的执行, 帐户将有机会在被黑客入侵或被执行未经授权交易时, 未能及时发现而引致账户资产损失。

“Internet trade execution” notification is to enhance client’s internet trading secure control. Client cannot be promptly known the status of trade execution, and identify any unauthorized trade execution in trading account if client chooses not to receive “Internet trade execution” notification. It may lead to loss of the client’s asset without prompt awareness of hacking or unauthorized trade execution in client’s trading account.

- 本人 / 吾等承认、同意及确认不收取互联网执行交易通知, 并对发出的所有互联网买卖指示, 本人 / 吾等自行承担全部责任。

I/We acknowledge, agree and confirm to opt out from Internet Trade Execution Notification that I/we shall be wholly and solely responsible for all instructions entered through the Internet Trading Services.

- 除非西证收到本人 / 吾等以电子或书面形式发出要求重启收取互联网执行交易通知, 否则西证不会发出所有互联网执行交易通知。

The Notification of Internet Trade Execution will not be given unless and until you receive my/our request to restart the Notification of Internet Trade Execution either electronically or by hard copy.

- 此不收取互联网执行交易通知及其风险披露声明乃客户附加条款, 此条款并不损害客户协议内其他条款。Risk Disclosure Statement and Notification of opt out from Internet Trade Execution is a client additional clause; this clause is made without prejudice and in addition to all the other provisions.

客户签署及公司印章(如适用) Client Signature & Company Chop (if applicable)	日期 Date
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请邮寄或递交此表格至 香港铜锣湾希慎道33号利园一期40楼 客户服务部。Please post or submit this Form to Customer Services Department at 40/F., Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong.

如有任何查询, 欢迎与阁下之投资顾问联络或致电客户服务部热线: 2238-9238 / 电邮: swsc_dept_cs@swsc.hk。

Should you have any enquiries, please feel free to contact your investment consultant or call our Customer Services Department at hotline: 2238-9238 / email address: swsc_dept_cs@swsc.hk.

Signature Verified by : Customer Services Dept.	Approved by : Responsible Officer(RO)	Date Input by: Customer Services Dept.	Date Checked by: Customer Services Dept.
_____	_____	_____	_____